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PTO/SB/05 (11-00)

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j1060 U.S. PTO
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10/01/01

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	03456-P0001A
		First Inventor	Joan Phillips Waldron, et al.
		Title	Method And Apparatus For A Hearing Aid
		Express Mail Label No.	EL 550 089 256 US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO:	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 22] <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claims(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawings(s) (35 USC 113) [Total Sheets 8]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3]</p> <p>a. <input checked="" type="checkbox"/> New executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>			
ACCOMPANYING APPLICATION PARTS			
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 of its equivalent.</p> <p>17. <input type="checkbox"/> Other.....</p>			

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part of prior application No.: 60/195,240

Prior application information: Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number of Bar Code Label	24126 <i>(Insert Customer No. or Attach bar code label here)</i>	<input checked="" type="checkbox"/> Correspondence address below	
Name	Louis H. Reens		
Address	St. Onge Steward Johnston & Reens LLC 986 Bedford Street		
City	Stamford	State	CT
Country	United States	Telephone	203 324-6155
Zip Code	06905-5619		
Fax	203 327-1096		

Name (Print/Type)	Louis H. Reens	Registration No. (Attorney/Agent)	22,588
Signature	<i>Louis H. Reens</i>		Date 3/29/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Times will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Application, Washington, DC 20231.

The PTO ~~does not~~ receive the following item(s). Doc. very wet.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 462.00)

Complete if Known

Application No.	- Pending
Filing Date	March 29, 2001
First Named Inventor	Joan Phillips Waldron, et al.
Examiner Name	
Group Art Unit	

Attorney Docket Number 03456-P0001A LHR

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number 19-4516

Deposit Account Name St.Onge Steward Johnston & Reens LLC

Charge Any Additional Fee Required
(Under CFR 1.16 and 1.17)
 Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
101 710	201	355	Utility filing fee	\$355.00
106 320	206	160	Design filing fee	
107 490	207	245	Plant filing fee	
108 710	208	355	Reissue filing fee	
114 150	214	75	Provisional filing fee	
SUBTOTAL (1)			(\$)	355.00

2. EXTRA CLAIMS FEES

Total Claims	23	-20** =	3	Extra Claims	Fee from Below	Fee Paid
Independent Claims	4	-3** =	1	X 40	=	40.00
Multiple Dependent				X		

Large Entity Fee (\$)	Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
103 18	203	9	Claims in excess of 20
102 80	202	40	Independent claims in excess of 3
104 270	204	135	Multiple dependent claims, if not paid
109 80	209	40	** Reissue independent claims over original patent
110 18	210	9	** Reissue claims in excess of 20 and over original patent

** or number previously paid, if greater; For Reissues, see above

SUBTOTAL (2) (\$ 67.00)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205	65	Surcharge – late filing for or oath	
127 50	227	25	Surcharge – late provisional filing or cover sheet	
139 130	139	130	Non-English specification	
147 2,520	147	2,520	For filing a request for ex parte reexamination	
112 920*	112	920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113	1,840	Requesting publication of SIR after Examiner Action	
115 110	215	55	Extension for reply within first month	
116 390	216	195	Extension for reply within second month	
117 890	217	445	Extension for reply within third month	
118 1,390	218	695	Extension for reply within fourth month	
128 1,890	228	945	Extension for reply within fifth month	
119 310	219	155	Notice of Appeal	
120 310	220	155	Filing a brief in support of an appeal	
121 270	221	135	Request for oral hearing	
138 1,510	138	1,510	Petition to institute a public use proceeding	
140 110	240	55	Petition to revive – unavoidable	
141 1,240	241	620	Petition to revive – unintentional	
142 1,240	242	620	Utility issue fee (or reissue)	
143 440	243	220	Design issue fee	
144 600	244	300	Plant issue fee	
122 130	122	130	Petitions to the Commissioner	
123 50	123	50	Processing fee under 37 CFR 1.17(q)	
126 240	126	240	Submission of Informational Disclosure Stmt	
581 40	581	40	Recording each patent assignment per Property (times number of properties)	\$ 40.00
146 710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179 710	279	355	Request for Continued Examination (RCE)	
169 900	169	900	Request for expedited examination of a design application	

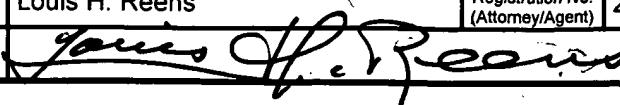
Other fee (specify) _____

* Reduced by Basic Filing Fee paid

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY St.Onge Steward Johnston & Reens LLC

Complete (if applicable)

Name (Print Type)	Louis H. Reens	Registration No. (Attorney/Agent)	22,588	Telephone	203 324-6155
Signature				Date	3/29/2001

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